

# A complete conclusion-based procedure for judgment aggregation

Gabriella Pigozzi<sup>1</sup>, Marija Slavkovic<sup>1</sup>, and Leendert van der Torre<sup>1</sup>

Individual and Collective Reasoning, Computer Science and Communication,  
University of Luxembourg, 6, Rue Richard Coudenhove Kalergi, L-1359 –  
LUXEMBOURG

**Abstract.** Judgment aggregation is a formal theory reasoning about how a group of agents can aggregate individual judgments on connected propositions into a collective judgment on the same propositions. Three procedures for successfully aggregating judgments sets are: premise-based procedure, conclusion-based procedure and distance-based merging. The conclusion-based procedure has been little investigated because it provides a way to aggregate the conclusions, but not the premises, thus it outputs an incomplete judgment set. The goal of this paper is to present a conclusion-based procedure outputting complete judgment sets.

## 1 Introduction

Judgment aggregation [9, 10, 12] studies the aggregation of individual judgments of small groups such as expert panels, legal courts, boards and councils. We talk about judgment aggregation whenever a group of individuals needs to make a collective decision on a finite set of issues, and these propositions are logically connected. The propositions are of two kinds: *premises* and a *conclusion*. The first serve as supporting reasons to derive a certain judgment on the conclusion. If, for example [1], your department has to hire a new lecturer and the decision rule is such that a candidate  $X$  will be hired only if the candidate is good at teaching and good at research, we will say that “hiring  $X$ ” is the conclusion while “good at teaching” and “good at research” are the premises.

How shall we derive a group decision given the individuals’ opinions on premises and conclusion? It is assumed that each individual expresses yes/no opinions on the propositions while respecting the logical relations. If we now define the group opinion as the majority view on the issues, it turns out that the collectivity may have to endorse an inconsistent position. This means that your department may have to face a situation in which a majority does not deem  $X$  a good candidate. However, it will not be possible to provide reasons for this as a majority of people agrees that  $X$  is actually good at teaching and (another) majority deems  $X$  to be good at research. An example of such situation is presented in Table 1.

The problem is avoided if we decide to let the majority vote on the premises to dictate the final decision on the hiring process, or if the agents express their judgments only on the conclusion. Unlike the aggregation procedure on the premises [14, 5], the aggregation on the conclusion has not been thoroughly investigated.

	$a = X$ is good at teaching	$b = X$ is good at research	$x =$ hire $X$
prof. A	<i>yes</i>	<i>no</i>	<i>no</i>
prof. B	<i>yes</i>	<i>yes</i>	<i>yes</i>
prof. C	<i>no</i>	<i>yes</i>	<i>no</i>
Majority	<i>yes</i>	<i>yes</i>	<i>no</i>

**Table 1.** Hiring committee example. The candidate  $X$  is hired if and only if  $X$  is good at teaching and  $X$  is good at research.

We claim that in many decision problems the conclusion is more relevant than the reasons for it. When deciding which candidate to hire in your department, you may be more concerned of which new colleague you will have in your department than of the reasons for choosing her. Considering only the individual judgments on the conclusions has also the advantage that it is a strategy-proof procedure. The same does not hold when you aggregate on the premises.

The problem this paper addresses is how a group can make decisions on the conclusion while providing reasons in support of the collective conclusion. Our procedure prioritizes the individual judgments on the conclusion and outputs sets of premises that support the collective decision.

The paper is structured as follows: in Section 2 we present the problem of judgment aggregation. Section 3 is devoted to our formal framework, and in Section 4 we prove some results about our procedure. Section 5 relates our approach with existing work and, finally, Section 6 concludes the paper and outlines directions for future work.

## 2 Judgment aggregation

In judgment aggregation agents are required to express judgments (in the form of yes/no or, equivalently, 1/0) over *premises* and *conclusion*. As in [19], to represent the distinction between premise and conclusion in our language, we distinguish between premise variables  $a, b, c, p, q \dots$ , and conclusion variable  $x$ .

In the hiring example, “ $X$  is good at teaching” is *premise*  $a$ , and “ $X$  is good at research” is *premise*  $b$ . The decision rule can be formally expressed by the rule  $(a \wedge b) \leftrightarrow x$ , where  $x$  is the conclusion about hiring  $X$ . Each member of the department expresses her judgment on the propositions  $a, b$  and  $x$  such that the rule  $(a \wedge b) \leftrightarrow x$  is satisfied.

Suppose the three professors in the department make their judgments according to Table 1. Each member expresses a consistent opinion, i.e. she says yes to  $x$  if and only if she says yes to both  $a$  and  $b$ . However, propositionwise majority voting (consisting in the separate aggregation of the votes for each proposition  $a, b$  and  $x$  via majority rule) results in a majority for  $a$  and  $b$  and yet a majority for  $\neg x$ . This is an inconsistent collective result, in the sense that  $\{a, b, \neg x, (a \wedge b) \leftrightarrow x\}$  is inconsistent in propositional logic. The paradox lies in the fact that majority voting can lead a group of rational agents to endorse an irrational collective judgment. The literature on judgment aggregation refers to such problems as the *doctrinal paradox* (or *discursive dilemma*).

The relevance of such aggregation problems applies to all situations in which individual binary evaluations need to be combined into a group decision. Furthermore, the problem of aggregating individual judgments is not restricted to majority voting, but it applies to all aggregation procedures satisfying some seemingly desirable conditions. For an overview, the reader is referred to [13].

Two ways to avoid the inconsistency are the *premise-based procedure* (PBP) and the *conclusion-based procedure* (CBP) [17, 3]. According to the PBP, each agent votes on each premise. The conclusion is then inferred from the rule  $(a \wedge b) \leftrightarrow x$  and from the judgment of the majority of the group on  $a$  and  $b$ . If the professors of the example followed the premise-based procedure, the lecturer would be hired.

Because in PBP the collective judgment on the conclusion is derived from the individual judgments on the premises, it can happen that PBP violates a unanimous vote on the conclusion. In [16] Nehring presents a variation on the discursive dilemma, which he calls the Paretian dilemma. In his example, a three-judges court has

to decide whether a defendant has to pay damages to the plaintiff. Legal doctrine requires that damages are due if and only if the following three premises are established: 1) the defendant had a duty to take care, 2) the defendant behaved negligently, 3) his negligence caused damage to the plaintiff. ([16], p.1)

Suppose that the judges vote as in Table 2.

Agenda	$a$	$b$	$c$	$x = (a \wedge b \wedge c)$
Judge A	1	1	0	0
Judge B	0	1	1	0
Judge C	1	0	1	0
Majority	1	1	1	0

**Table 2.** Paretian dilemma. Premises:  $a$  = duty,  $b$  = negligence.,  $c$  = causation. Conclusion:  $x = (a \wedge b \wedge c) =$  damages.

The Paretian dilemma is disturbing because, if the judges would follow PBP, they would condemn the defendant to pay damages contradicting the *unanimous* belief of the court that the defendant is *not* liable.

A CBP would not lead to such a unanimity violation. According to CBP, the judges decide privately on  $a$  and  $b$  and only express their opinions on  $x$  publicly. The judgement of the group is then inferred from applying the majority rule to the agents' judgments on  $x$ . The defendant will be declared liable if and only if a majority of the judges actually believes that she is liable. In the example, contrary to PBP, the application of CBP would free the defendant. However, no reasons for the court decision could be supplied.

Unlike PBP [14, 5], CBP did not receive much attention in the literature. Here we aim at filling this gap. We propose a procedure that attempts to overcome the major limit of CBP, that is the lack of reasons supporting the decision.

### 3 Framework

In this section we introduce our formal framework to represent judgment aggregation problems. A set of agents  $N = \{1, 2, \dots, n\}$  makes judgments on logically interconnected propositions. The set  $\mathcal{P}$  of atomic propositions is defined as the union of two disjoint sets:  $\mathcal{P}_p$  containing variables  $a, b, c, \dots, p, q$  for the premises, and  $\mathcal{P}_c$  being a singleton  $\{x\}$ , where  $x$  is the variable for the conclusion. We assume that the conclusion is an atomic formula.  $\mathcal{L}$  is a language built from  $\mathcal{P}$ , including complex formulas as  $\neg a, (a \wedge b), (a \vee b), (p \rightarrow q), (a \leftrightarrow p)$ .

The set of issues on which the judgments have to be made is called *agenda* and is denoted by  $\Phi \subseteq \mathcal{L}$ . The agenda is assumed to be finite and closed under negation: if  $a \in \Phi$ , then  $\neg a \in \Phi$ .<sup>1</sup> Each double negated proposition  $\neg\neg a$  is identified with its corresponding non negated proposition  $a$ . We split the agenda in two parts: one containing the premises ( $\Phi_p$ ), and one containing the conclusion ( $\Phi_c$ ). We exclude agenda items such as  $a \rightarrow x$ , i.e. formulas containing premises and conclusion. Our procedure consists of two different aggregations: one on the individual judgments on  $\Phi_p$  and one on the individual judgments on  $\Phi_c$ .

A subset  $J \subseteq \Phi$  is the *collective judgment set* and contains the set of propositions believed by the group. Similarly, we define individual  $i$ 's judgment set  $J_i \subseteq \Phi$ . A collective judgment set is *consistent* if it is a consistent set in  $\mathcal{L}$ , and is *complete* if, for any  $a \in \mathcal{L}$ ,  $a \in J$  or  $\neg a \in J$  (consistent and complete individual judgment sets are defined in the same way). We only consider consistent complete judgment sets.

A *decision rule*  $\mathcal{R}$  is a formula of  $\mathcal{L}$  that represents the logical connections between premises and conclusion. More precisely,  $\mathcal{R}$  has the form  $\Psi \leftrightarrow x$ , where  $\Psi \in \mathcal{L}/\{x\}$ . The decision rule is not an item of the agenda. This means that the group members do not vote on  $\mathcal{R}$ , but each individual is required to give judgments that satisfy the given rule.

Like the agenda, each judgment set is split in two disjoint subsets:  $J_{i,p}$  and  $J_{i,c}$ . The first is the individual  $i$ 's judgment set on the premises, and  $J_{i,c}$  is the individual  $i$ 's judgment set on the conclusion. The collective judgment sets on premises and conclusion will be denoted respectively by  $J_p$  and  $J_c$ .

We say that a premise  $a$  (resp. a conclusion  $x$ ) is *unanimously supported* if  $a \in J_{i,p}$  for all  $J_{i,p} \subseteq \Phi$  (resp.  $x \in J_{i,c}$  for all  $J_{i,c} \subseteq \Phi$ ).

A *profile*  $\underline{J}$  is an  $n$ -tuple  $(J_1, J_2, \dots, J_n)$  of agents' judgment sets. An *aggregation rule*  $F$  assigns a set of collective judgment sets  $J$  to each profile  $\underline{J}$ . For our procedure we need to define two aggregation rules: one for the aggregation of the individual premises and one for the conclusion. To relate the two aggregation rules, we have a set of integrity constraints  $IC$ .  $IC$  indicates the set of admissible interpretations, i.e. the admissible collective judgment sets. Also, to allow for situations in which the aggregated judgment set is not unique, i.e. there are ties, we aggregate the profiles into *sets* of aggregated judgment sets.

<sup>1</sup> To increase readability, in the tables we list only the positive issues, and assume that, for any issue in the agenda, an individual deems that issue to be true if and only if she deems its negation to be false.

A *premise profile*  $\underline{J}_p$  is an  $n$ -tuple  $(J_{1,p}, J_{2,p}, \dots, J_{n,p})$  of agents' judgment sets on premises. A *premise aggregation rule*  $F_{IC}$  assigns a set of collective judgment sets  $J_p$  to each premise profile  $(J_{1,p}, J_{2,p}, \dots, J_{n,p})$  and set of integrity constraints  $IC$ . Conclusion profiles  $(J_{1,c}, J_{2,c}, \dots, J_{n,c})$  and conclusion aggregation rules  $F_c$  are defined similarly.

### 3.1 Complete conclusion-based procedure

Each individual provides, simultaneously, the set of premises and conclusion that she believes. Our two-step procedure first performs a standard CBP, i.e. it aggregates the individual judgments on the conclusion by majority rule. This means that  $x$  (resp.  $\neg x$ ) is the collective conclusion iff there are at least  $\lfloor \frac{n}{2} \rfloor + 1$  agents voting for  $x$  (resp.  $\neg x$ ). The second step consists in determining the set of reasons which support the collective conclusion. This is done by applying a *distance-based merging operator* to  $J_{i,p}$ .

Distance minimization merging procedures have been already applied to judgment aggregation problems [18]. In this section we briefly present a majority merging operator with integrity constraints following [8, 7]. Unlike in [8, 7], when the merging operator outputs ties, we take the disjunction of the formulas which completely characterize the tied alternatives.

An *interpretation* is a function  $v : \mathcal{P} \rightarrow \{0, 1\}$  and it is represented as the list of the binary evaluations. For example, given three propositional variables  $a$ ,  $b$  and  $c$ , the vector  $(0, 1, 0)$  stands for the interpretation in which  $a$  and  $c$  are false and  $b$  is true. Let  $\mathcal{W} = \{0, 1\}^{\mathcal{P}}$  be the set of all interpretations. An interpretation is a *model* of a propositional formula if and only if it makes the formula true in the usual truth functional way.

Let us suppose that  $\Phi_p = \{a, \neg a, b, \neg b, c, \neg c\}$ , and that agent 1 believes that  $a$ ,  $\neg b$  and  $\neg c$ , i.e.  $J_{1,p} = \{a, \neg b, \neg c\}$ . We represent  $J_{1,p}$  as a 0-1 vector of length equal to the number of propositions in  $J_{1,p}$ , i.e.  $(1, 0, 0)$ . Suppose also that  $\mathcal{R} = ((a \vee b) \wedge c) \leftrightarrow x$  and that, unlike agent 1, the majority of the individuals voted in favor of  $x$ . Hence, the first step of our procedure sets  $v(x) = 1$ . We now want to define an aggregation on  $J_{i,p}$  such that the collective judgment set on the premises is one of the models of  $((a \vee b) \wedge c) \leftrightarrow x$  where  $v(x) = 1$ . This means that  $J_p$  must be one of the following interpretations:  $(1, 1, 1)$ ,  $(0, 1, 1)$ ,  $(1, 0, 1)$ . The set of premises supporting the collective conclusion will constrain the aggregation procedure on  $J_{i,p}$ .

Given a premise profile  $\underline{J}_p$  and  $IC$ ,  $F_{IC}(\underline{J}_p)$  denotes a set of collective judgment sets on the premises resulting from the  $IC$  merging on  $\underline{J}_p$ . The idea of a distance minimization merging operator is that  $F_{IC}(\underline{J}_p)$  will select those interpretations in  $IC$ , which are at minimal distance from  $\underline{J}_p$ . A distance  $d(\omega, \underline{J}_p)$  between an interpretation  $\omega$  and the premise profile  $\underline{J}_p$  induces a total pre-order ( $\leq$ ) on the interpretations.

In order to obtain the total pre-order on the interpretations, we first need to determine a pseudo-distance between each admissible interpretation and each  $J_{i,p}$ . Then, we need to aggregate all these values in order to obtain a pseudo-

distance value between an interpretation and  $\underline{J}_p$ . Let us see this in detail (we follow [8, 7]).

A pseudo-distance between interpretations is a function  $d : \mathcal{W} \times \mathcal{W} \rightarrow \mathbb{R}_+$  such that for all  $\omega, \omega' \in \mathcal{W}$ :  $d(\omega, \omega') = d(\omega', \omega)$  and  $d(\omega, \omega') = 0$  iff  $\omega = \omega'$ .

A pseudo-distance between an interpretation  $\omega$  and  $\underline{J}_p$  is defined with the help of an aggregation function  $D: \mathbb{R}_+^n \rightarrow \mathbb{R}_+$  as  $D^d(\omega, \underline{J}_p) = \overline{D}(d(\omega, J_{1,p}), \dots, d(\omega, J_{n,p}))$  [7]. Any such aggregation function induces a total pre-order  $\leq_{\underline{J}_p}$  on the set  $\mathcal{W}$  with respect to the pseudo-distances to a given  $\underline{J}_p$ . Thus, an *IC majority merging operator* for a profile  $\underline{J}_p$  can be defined as  $\Delta_{IC}(\underline{J}_p) = \min([IC], \leq_{\underline{J}_p})$ , i.e., the set of all models of *IC* (denoted by  $[IC]$ ) with minimal pseudo-distance  $D^d$  to  $\underline{J}_p$ . The minimal pseudo-distance identifies the final collective outcome on the premises, i.e. the set of premises that support the conclusion voted by the majority of the agents and with the minimal distance among all possible models satisfying *IC*.

A majority merging operator, often mentioned in the literature, is the operator  $\Delta_{IC}^{d, \Sigma}$  defined as follows:

1.  $d$  is the Hamming distance — the number of propositional letters on which two interpretations differ, i.e.,  $d(\omega, \omega') = |\{\pi \in \mathcal{P} | \omega(\pi) \neq \omega'(\pi)\}|$  and
2.  $D^d(\omega, \underline{J}_p) = \sum_i d(\omega, J_{i,p})$  is the sum of componentwise distances  $d$  defined before.

For example, the Hamming distance between  $\omega = (1, 0, 0)$  and  $\omega' = (0, 1, 0)$  is  $d(\omega, \omega') = 2$ . In the following we use the Hamming distance because it is a well known and intuitive distance. But the Hamming distance is only one among many possible distance functions that we may use.

The premise aggregation rule  $F_{IC}$  outputs the disjunction of formulas which completely characterize the sets of judgments selected by  $\Delta_{IC}^{d, \Sigma}$  as the reasons in support of the conclusion voted by the majority of the agents. Given a premise profile  $\underline{J}_p$ ,  $F_{IC}$  is defined as:

$$F_{IC}(\underline{J}_p) = \bigvee \Delta_{IC}^{d, \Sigma}(\underline{J}_p)$$

The constraint *IC* is defined as  $IC = \mathcal{R} \wedge \hat{x}$ , where  $\hat{x}$  is the conclusion chosen by the majority.

The best way to illustrate our procedure is with an example.

*Example 1.* Consider a *collegium medicum* that wishes to eliminate the possibility of a patient suffering from condition X before administering a treatment. We take  $v(x) = 0$  if the patient is free of X. The doctors consider the three relevant alternative medical conditions  $a$ ,  $b$  and  $c$  the patient may suffer from. The patient is free of X if medical conditions  $a$ ,  $b$  and  $c$  are present ( $v(a) = 1$ ,  $v(b) = 1$  and  $v(c) = 1$ ), if all three medical conditions are absent ( $v(a) = 0$ ,  $v(b) = 0$  and  $v(c) = 0$ ) or if the last condition is present while the previous two are absent ( $v(a) = 0$ ,  $v(b) = 0$  and  $v(c) = 1$ ). In all other cases the patient is likely to suffer from X. Table 3 gives the truth table of  $\mathcal{R}$ .

Three equally qualified members of the *collegium medicum* give their opinions shown in Table 4. As Table 4 shows, the group is facing a dilemma. The majority

$a$	0	0	0	0	1	1	1	1
$b$	0	0	1	1	0	0	1	1
$c$	0	1	0	1	0	1	0	1
$x$	0	0	1	1	1	1	1	0

**Table 3.** The truth table of  $\mathcal{R}$  for the doctor example. of the conclusions from the doctors opinions indicates that the patient does not suffer from X though the majority on the premises supports the opposite conclusion.

Our procedure (see Table 5) selects the reasons that are most compatible with the doctors' different opinions, i.e. the judgment set (1,1,1).

Agenda	$a$	$b$	$c$	$x$
Dr. A	1	1	1	0
Dr. B	0	0	0	0
Dr. C	1	1	0	1
Majority	1	1	0	0

**Table 4.** The dilemma faced by the doctors.

By applying the same procedure, the premises selected for the discursive dilemma in Table 2 with  $v(x) = 0$  would be  $(0, 1) \vee (1, 0)$ , representing a tie between  $X$  is good at teaching but bad at research and  $X$  is good at research but bad at teaching.

Example 1 illustrates that, when aggregating the premises, we do not only take into account the judgment sets of the agents that support the aggregated conclusion, but also the judgment sets of agents that do not support the conclusion. Consider for example the selection of premises with  $v(x) = 1$  in Table 4. We take also the judgment set of Dr. C into account, although she voted for  $v(x) = 1$ .

The justification for taking all individual judgments on the premises into account is two-folded. On the one hand, from the perspective of probability theory, if all judgments are independent, then more judgment sets mean a higher chance to get a better judgment. On the other hand, from the perspective of democracy, involving agents whose conclusion is not supported will give broader basis for the decision. However, we do not exclude the possibility that there are situations in which only the individuals' judgments that actually supported the aggregated conclusion should be taken into account when determining the reasons for that conclusion.

## 4 Results

We now show some properties which hold for the premise aggregation rule  $F_{IC}$  we had defined in the previous section. We start by noticing that, in the case of the aggregation of binary evaluations, there is an obvious correspondence between proposition-wise majority voting and distance minimization. This has been already observed in several contexts (see, e.g., [2]), and can be generalized to the following folk theorem.

	$J_{1,p}$	$J_{2,p}$	$J_{3,p}$	$\sum_i d(\omega, J_{i,p})$
(1,1,1)	0	3	1	4
(0,0,0)	3	0	2	5
(0,0,1)	2	1	3	6

**Table 5.** Selection of the premise set from the doctors opinions under the constraint  $v(x) = 0$ .

**Proposition 1.** *Let  $\underline{J} = (J_1, \dots, J_n)$  be a profile over the agenda  $\Phi$ . Let  $J^{maj} \subset \Phi$  be a complete and consistent set. Let it hold that for every premise  $a \in J^{maj}$ ,  $a \in J_{i,p}$  for at least  $\lfloor \frac{n}{2} \rfloor + 1$  premise sets in the profile  $J_p$ . Also, for the conclusion  $x \in J^{maj}$ , let it hold that  $x \in J_{i,c}$  for at least  $\lfloor \frac{n}{2} \rfloor + 1$  conclusion sets in the profile  $J_c$ . The sum of Hamming distances from  $J^{maj}$  to the judgment sets in  $\underline{J}$  is minimal.*

This means that, in the absence of a Paretian dilemma (i.e. when  $J^{maj}$  satisfies the decision rule  $\mathcal{R}$ ), proposition-wise majority voting, distance-based merging and our procedure coincide.

#### 4.1 Unanimity preservation

One of the desirable properties for a judgment aggregation procedure is the heeding of unanimity. If all the agents unanimously support an agenda item, then it is natural to expect the unanimously supported item will be adopted as the collective judgment. However, PBP does not necessarily preserve unanimity on the conclusion (as it was the case with the Paretian dilemma shown in Table 2).

PBP aggregates each premise independently from the other premises, but the aggregation on the conclusion depends on the collective judgments on the premises. Therefore the unanimity on the premises will be preserved, but the unanimity on the conclusion may be violated.

When aggregating according to the CBP, unanimity on the conclusion will always be maintained, but unanimity on the premises may be violated. However, our procedure offers the option to preserve unanimity on the premises as well, by constraining the models which do not support unanimity.

We begin by giving a formal definition on when a premise aggregation rule  $F_{IC}$  preserves unanimity. Whether or not the unanimity on the premises is preserved by our  $F_{IC}$  depends on the rule  $\mathcal{R}$  as well as the agenda  $\Phi$ . We show two decision rules for which the unanimity is preserved and then we use an example to show that in the case of an arbitrary rule and agenda, the unanimity of the premises is not guaranteed.

**Definition 1.** *Let  $\underline{J}_p = (J_{1,p}, \dots, J_{n,p})$  be a premise profile on the agenda  $\Phi$  and  $p$  a premise from the agenda. A premise aggregation rule  $F_{IC}$  preserves unanimity on the premises if and only if the following holds:*

*If  $p \in J_{i,p}$  for all  $i = \{1, \dots, n\}$  then  $p \in F_{IC}(\underline{J}_p)$ .*

Note that, since  $F_{IC}$  can select more than one premise judgment set,  $p$  needs to be in all of them for unanimity to be preserved.

The following theorem indicates two decision rules  $\mathcal{R}$ , and an agenda, in the presence of which unanimity is preserved on the premises by  $F_{IC}$ .

**Theorem 1.** *Let  $\Phi$  be an agenda in which all the elements are atoms or negations of atoms. Let  $\mathcal{R}$  be a decision rule of the form  $(a_1 \wedge \dots \wedge a_n) \leftrightarrow x$  or of the form  $(a_1 \vee \dots \vee a_n) \leftrightarrow x$ .  $\{a_1, \dots, a_n\} \subseteq \Phi$  are premises and  $x \subseteq \Phi$  is a conclusion.  $F_{IC}$  preserves unanimity on the premises for any profile  $\underline{J}$  over  $\Phi$  and  $\mathcal{R}$ .*



Due to page limit constraints the proofs are provided in the Appendix.

Given an arbitrary agenda, a decision rule  $\mathcal{R}$  corresponding to that agenda and an arbitrary profile  $\underline{J}$ , the merging operator does not necessary preserve unanimity. We show this through an example.

Consider the profile presented in Table 6. The rule  $\mathcal{R}$  is such that the value of  $x$  is 1 if and only if the evaluations of the premises are one of the sets in the first column of Table 7. For all other evaluations of premises,  $x$  is 0.

Our procedure preserves the unanimity on the conclusion and selects  $v(x) = 1$ , but gives an aggregation for the premises which violates the unanimity on premise  $p_{13}$  (Table 7).

	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$	$p_7$	$p_8$	$p_9$	$p_{10}$	$p_{11}$	$p_{12}$	$p_{13}$	$x$
A	1	0	0	1	0	0	1	0	0	1	0	0	1	1
B	0	1	0	0	1	0	0	1	0	0	1	0	1	1
C	0	0	1	0	0	1	0	0	1	0	0	1	1	1
Maj.	0	0	0	0	0	0	0	0	0	0	0	0	1	1

**Table 6.** A case in which unanimity on premises will be violated by the complete CBP.

	$J_{1,p}$	$J_{2,p}$	$J_{3,p}$	$\Sigma_i d()$
(1,0,0,1,0,0,1,0,0,1,0,0,1)	0	8	8	16
(0,1,0,0,1,0,0,1,0,0,1,0,1)	8	0	8	16
(0,0,1,0,0,1,0,0,1,0,0,1,1)	8	8	0	16
(0,0,0,0,0,0,0,0,0,0,0,0,0)	5	5	5	15

**Table 7.** Selection of premises for the counterexample.

The preservation of unanimously held premises can be imposed by  $IC$ . This is done by making  $IC = \mathcal{R} \wedge \hat{x} \wedge p^*$ , where  $p^*$  is any unanimously voted premise. Admissible outcomes for  $J_p$  then are those supporting the conclusion voted by the majority and containing the premise(s) unanimously chosen.

## 4.2 Manipulability

Another property which is of interest when dealing with aggregation procedures is that of manipulability. A judgment aggregation procedure is called manipulable if an agent, who would not obtain a desired outcome by submitting her sincere premise set, can obtain a desired outcome by choosing to submit a set of premises different than her honest premise set. Under the context of complete-conclusion based procedures, we will distinguish between full and preferred manipulability.

*Full manipulability* means that we distinguish only whether the aggregated premise set entirely corresponds to an agent's judgments on premises or not. A procedure is fully manipulable if an agent can obtain her complete honest premise set as an output from the procedure by submitting another (insincere) premise set that supports the same conclusion. Formally, let  $\underline{J}_p = (J_{1,p}, \dots, J_{i,p}, \dots, J_{n,p})$

be a premise profile. Let  $F_{IC}(J_p) = \{J_{1,p}^\circ, \dots, J_{m,p}^\circ\}$ , i.e. the merging operator selects the premise sets  $J_{1,p}^\circ, \dots, J_{m,p}^\circ$ . Let  $J_{i,p}$  be the “honest” premise set of an agent  $i$ .

**Definition 2.** *Assume that a premise set  $J_{i,p}^* \neq J_{i,p}$  exists, such that  $J_{i,p}^*$  supports the same conclusion as the premise set  $J_{i,p}$ . The operator  $F_{IC}$  is fully manipulable if  $J_{i,p} \in F_{IC}(J_{1,p}, \dots, J_{i,p}^*, \dots, J_{n,p})$  but  $J_{i,p} \notin F_{IC}(J_{1,p}, \dots, J_{i,p}, \dots, J_{n,p})$ .*

**Theorem 2.**  *$F_{IC}$  is not fully manipulable.*

Let us now assume that an agent has a premise  $p$  which she holds most important (has a strong preference on the evaluation of this premise). We say that a procedure is *preferred manipulable* if an agent can ensure that the preferred projection  $w(p)$  is included in the output by submitting another premise set that supports the same conclusion. Since we do not represent the preferred premise explicitly in our framework, any premise can be the preferred one, and preferred manipulability therefore means that the agent is able to change her premise set in a way such that one premise which is not a member of the aggregated set becomes member of it.

**Definition 3.** *Assume that a premise set  $J_{i,p}^* \neq J_{i,p}$  exists, such that  $J_{i,p}^*$  supports the same conclusion as the premise set  $J_{i,p}$  and premise  $p_{pref}$  is in both of the premise sets. The operator  $F_{IC}$  is preferred manipulable if  $p_{pref}$  is in at least one premise set  $J_{j,p}^\circ \in F_{IC}(J_{1,p}, \dots, J_{i,p}^*, \dots, J_{n,p})$ , but  $\neg p_{pref}$  is in all of the premise sets selected by  $F_{IC}(J_{1,p}, \dots, J_{i,p}, \dots, J_{n,p})$ .*

**Theorem 3.**  *$F_{IC}$  is preferred manipulable.*

Full manipulability is a relatively weak condition, in the sense that it is fairly easy to satisfy. This notion of preferred manipulability seems to conflict with the intuition of the distance measure used to aggregate the premises, which does take such distinctions into account. However, preferred manipulability is a very strong condition, since it means in practice that an agent should not be able to improve any premise (since this premise may happen to be the preferred one). Other notions of manipulability could be studied, such as the improvement of a preferred premise by changing the judgment on this premise only.

## 5 Related work

One of the noted shortcomings of the CBP is that it is susceptible to path-dependence [15]. Path-dependent decisions are decisions whose outcome depends on the order in which propositions are considered. For any proposition, the collective judgment on it is decided by majority rule (or by any other suitable aggregation rule) unless this conflicts with the collective judgments of previously aggregated propositions. In the latter case, the collective value of that proposition is deduced by logical implication from the previously aggregated propositions. List [11] provided necessary and sufficient conditions for path-dependence.

Furthermore, in [4] it has been shown that the absence of path-dependence is equivalent to strategy-proofness.

Here we propose a complete CBP without assuming any order over the premises. We aimed at a procedure that treats all premises in an even-handed way. The absence of full manipulability is coherent with the results of [4].

Non-manipulability is one of the advantages of CBP over PBP. The question of manipulability under operators used for merging of propositions has been treated extensively in [6]. There, Everaere *et al.* explore a broad spectrum of manipulability for various merging operators over complete and incomplete sets of beliefs. Our work uses results from [6] on complete sets of beliefs under model-based merging operators that use the sum of the distances between belief bases.

## 6 Conclusions and future work

The complete CBP we present keeps the desirable properties of non-manipulability and it can be modified to preserve unanimity on the premises. What can be considered a shortcoming of the procedure is that it may select more than one premise judgment set to support the collective conclusion. Such “ties” in the output from aggregation are known to be resolved with an additional approval vote [2] or by random selection. A random selection is not a desirable tie-breaking solution in cases when the decisions on premises can influence some future decision making process. The approval voting requires more information to be injected in the framework and opens the questions of what incentives an agent may have to prefer one premise judgment set over another.

In future work we plan to investigate the relevance that current group decisions can have on future decisions. This “evolutionary” impact over the decision making process has been an important issue in the work that gave rise to the interest in judgment aggregation [9, 10], but it has fallen out of scope in the more formal study of judgment aggregation.

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## Appendix

*Proof of Theorem 1.* We give the proof for the decision rule  $(a_1 \wedge \dots \wedge a_n) \leftrightarrow x$ . The proof for the decision rule  $(a_1 \vee \dots \vee a_n) \leftrightarrow x$  can be constructed symmetrically. The aggregated conclusion for  $x$  can be either  $v(x) = 1$  or  $v(x) = 0$ . We construct a proof by cases:

**Case  $v(x) = 1$**

There is exactly one set of evaluations on premises that can be selected which is consistent with  $v(x) = 1$ , i.e. the premise set  $J_p^+$  in which every premise is evaluated to be true. Any unanimously supported premise in this case, can only be unanimously supported to have the value true and is necessarily included in  $J_p^+$ . Since  $x = 1$ , the majority of the premise judgment sets of the profile  $\underline{J}$  are necessarily the premise set  $J_p^+$ . Consequently, the premise judgment set selected by the merging operator will select precisely the set  $J_p^+$ .

**Case  $v(x) = 0$**

We construct a proof by contradiction.

**Assumption:** there is profile  $\underline{J}$  and a premise  $p$  such that  $p \in J_{i,p}$  for every judgment set  $i$  in the profile, and  $\neg p \in F_{IC}(\underline{J}_p)$ .  $F_{IC}(\underline{J}_p)$  has the minimal distance to the judgment sets of the agents.

As a consequence of the assumption,  $J_p$  must be the model setting  $v(x) = 0$ ,  $v(a_j) = 1$  for all  $a_j \neq p$ .

**Contradiction:** Since there is a majority for  $v(x) = 0$ , there is an agent whose judgment set contains  $v(x) = 0$ , and thus, in this agent's judgment set, there is a premise  $q$  such that  $v(q) = 0$ . Now consider the judgment set  $J'$  with  $v(a_k) = 1$  for all  $a_k \neq q$ , and  $v(q) = 0$  while  $v(x) = 0$ .  $J'$  is necessarily closer to the profile  $\underline{J}$  than  $J$ . This is a contradiction with the assumption.

*Proof of Theorem 2.* The proof of this theorem is given in [6], under strategy proofness for complete bases when the merging operator is  $\Delta_\mu^{d,\Sigma}$ , where  $\mu$  corresponds to  $IC$ .

*Proof of Theorem 3.* To show that  $F_{IC}$  is preferred manipulable it is sufficient to show that there exists a case in which an agent can ensure that the preferred premise appears in the aggregated result by misrepresenting her premise judgment set.

Assume the rule  $\mathcal{R}$  is  $x \leftrightarrow (p_1 \wedge p_2 \wedge p_3 \wedge p_4) \vee (p_1 \wedge p_2 \wedge \neg p_3 \wedge \neg p_4) \vee (\neg p_1 \wedge p_2 \wedge p_3 \wedge \neg p_4)$ . The profile in which every agent submits the ‘‘honest’’ judgment sets is given in Table 8. The full conclusion-based procedure will select  $x = 1$  and the premise set  $\{p_1 \wedge p_2 \wedge \neg p_3 \wedge \neg p_4\}$  (see Table 9).

Agenda	$p_1$	$p_2$	$p_3$	$p_4$	$x$
Agent A	0	0	0	0	0
Agent B	1	1	1	1	1
Agent C	1	1	0	0	1
Majority	1	1	0	0	1

**Table 8.** Preferred manipulability on premises when all agents vote “honestly”.

	$J_{1,p}$	$J_{2,p}$	$J_{3,p}$	$\sum_i d(\omega, J_{i,p})$
(0,0,0,0)	0	4	2	6
(1,1,1,1)	4	0	2	6
(1,1,0,0)	2	2	0	4
(0,1,1,0)	2	2	2	6

**Table 9.** Selection of premises for the “honest” profile.

Assume that Agent B holds premise  $p_3$  as specially important and has the incentive to see it in the selected premise judgment set. If this agent submits (0,1,1,0) instead of (1,1,1,1) as in Table 10, then the selected premise judgment sets are  $\{0, 0, 0, 0\}$ ,  $\{0, 1, 1, 0\}$ ,  $\{1, 1, 0, 0\}$  (Table 11). Premise  $p_3$  will be included in one of the selected premise judgment sets.

Agenda	$p_1$	$p_2$	$p_3$	$p_4$	$x$
Agent A	0	0	0	0	0
Agent B	0	1	1	0	1
Agent C	1	1	0	0	1
Majority	0	1	0	0	1

**Table 10.** Preferred manipulability on premises when Agent B manipulates.

	$J_{1,p}$	$J_{2,p}$	$J_{3,p}$	$\sum_i d(\omega, J_{i,p})$
(0,0,0,0)	0	2	2	4
(0,1,1,0)	2	0	2	4
(1,1,0,0)	2	2	0	4
(1,1,1,1)	4	2	2	8

**Table 11.** The result from the merging of the “manipulated” profile.